

1 **Improving human collective decision-making through animal and artificial intelligence**

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15

16 **Abstract**

17 **Whilst fundamental to human societies, collective decision-making such as voting systems**  
18 **can lead to non-efficient decisions, as past climate policies demonstrate. Current systems**  
19 **are harshly criticized for the way they consider voters' needs and knowledge. Collective**  
20 **decision-making is central in human societies but also occurs in animal groups mostly**  
21 **when animals need to choose when and where to move. In these societies, animals**  
22 **balance between the needs of the group members and their own needs and rely on each**  
23 **individual's (partial) knowledge. We argue that non-human animals and humans share**  
24 **similar collective decision processes, among which are agenda-setting, deliberation and**  
25 **voting. Recent works in artificial intelligence have sought to improve decision-making in**  
26 **human groups, sometimes inspired by animals' decision-making systems. We discuss here**  
27 **how our societies could benefit from recent advances in ethology and artificial intelligence**  
28 **to improve our collective decision-making system.**

29

30 **Keywords: collective decisions, vote, democracy, representativeness, machine learning**

31

32 **Rethinking current voting systems**

33 **Collective decision-making processes such as voting systems are pillars of our Western**  
34 **societies<sup>1-6</sup>. However, democratic choices may sometimes lead to non-efficient or non-**  
35 **representative decisions (see Glossary for definitions of efficiency and representativeness).**

36 **This was the case with the election of François Hollande in 2012<sup>7</sup> and the election of Donald**  
37 **Trump in 2016<sup>8</sup>. In the 2012 French presidential elections, François Hollande beat Nicolas**  
38 **Sarkozy and was elected with 51.62% of votes. However, these two candidates would have**  
39 **lost in a one-to-one vote to François Bayrou (a third candidate). In fact, Bayrou would have**

40 won one-to-one against any other candidate in this election and would therefore have been  
41 a Condorcet candidate (i.e., a candidate with a majority against any other candidate in a  
42 one-to-one vote). Nevertheless, Bayrou did not have enough individual preferences to make  
43 it to the second round of the election <sup>9</sup>. This example shows how the choice offered to the  
44 vote and the institutions governing that vote are perhaps as important as the way people  
45 vote. As illustrated by Donald Trump's victory over Hillary Clinton in the 2016 election,  
46 another issue affecting the legitimacy of voting results is the way in which citizens base their  
47 choice on media and news sources that were often unreliable and played on people's fears  
48 <sup>10,11</sup>. In the long term, these biased choices lead to non-efficient decisions that have to be  
49 revised frequently. Besides, society and political parties have become more polarised on  
50 many issues, due to the massive use of social networks and influencers <sup>12,13</sup>, while the  
51 political supply has not become more diverse. This combination of high polarisation and low  
52 political diversity results in a decrease in citizens' satisfaction with democracy <sup>14</sup>. In addition,  
53 Western democracies suffer more and more from low turnout rates <sup>15</sup>, which further  
54 weakens the political systems. It is therefore essential to find solutions that ensure citizens'  
55 acceptance. The issues that may lead to dissatisfaction with elections or referendums can be  
56 summarised in three categories: 1. the voting systems and, more generally, the mechanisms  
57 used to aggregate preferences, 2. the needs and/or desires of citizens, and 3. the knowledge  
58 on which electors base their decisions. Each of these categories could benefit from recent  
59 findings in animal and **artificial intelligence (AI)**.

60

### 61 **Recent advances in political science**

62 Political institutions are powerful organisations to articulate multi-level human societies and  
63 to produce decisions that affect large numbers of people. However, to achieve these two

64 goals, most political institutions have excluded ordinary citizens from policy **agenda-setting**  
65 and deliberation, two fundamental yet underestimated aspects of collective decision-making  
66 <sup>16</sup>. Even in representative democracies, ordinary citizens constitutionally have access only to  
67 the final choice, through their vote. In political science, voting generally refers to the choice  
68 of elected representatives by citizens. In most current cases, once the vote is over, the  
69 elected representatives become independent and are in no way bound to deliver their  
70 electoral promises: there is no recall option for the citizens. Over the years, two different  
71 types of disadvantages of voting have been reported. First, it is possible to question the  
72 capacity of the vote to represent society, based on the observation that, sociologically,  
73 elected officials differ greatly from ordinary citizens <sup>17</sup>. However, other forms of  
74 representation are conceivable. For example, statistical research has developed random  
75 sampling techniques that are representative of the general population. While these **sortition**  
76 techniques are widely used for opinion polls, they are still not very popular for choosing  
77 representatives of civil society, although recent experiments in Iceland and France (to name  
78 but two) have taken place <sup>16</sup>. Moreover, under certain conditions, it is possible to consider  
79 the **self-selection** of certain individuals as representative of a desire of similar individuals to  
80 take part in the public debate <sup>16</sup>. Finally, liquid representation is a very recent concept in  
81 political science <sup>18,19</sup>. Its four key features are <sup>20</sup>: (i) direct voting on any issue, (ii) flexible  
82 proxy voting, (iii) meta proxy voting and (iv) possible instant recall by each original voter. The  
83 other major form of disadvantage of voting is that it focuses attention on the final choice,  
84 while other dimensions of power are also important to consider. For many political  
85 scientists, representative democracy has come of age and should be either complemented  
86 or replaced by **deliberative democracy** or open democracy <sup>16</sup>. Voting as currently envisaged  
87 does not allow citizens to access the political agenda-setting <sup>21</sup> or deliberation <sup>22</sup> to choose

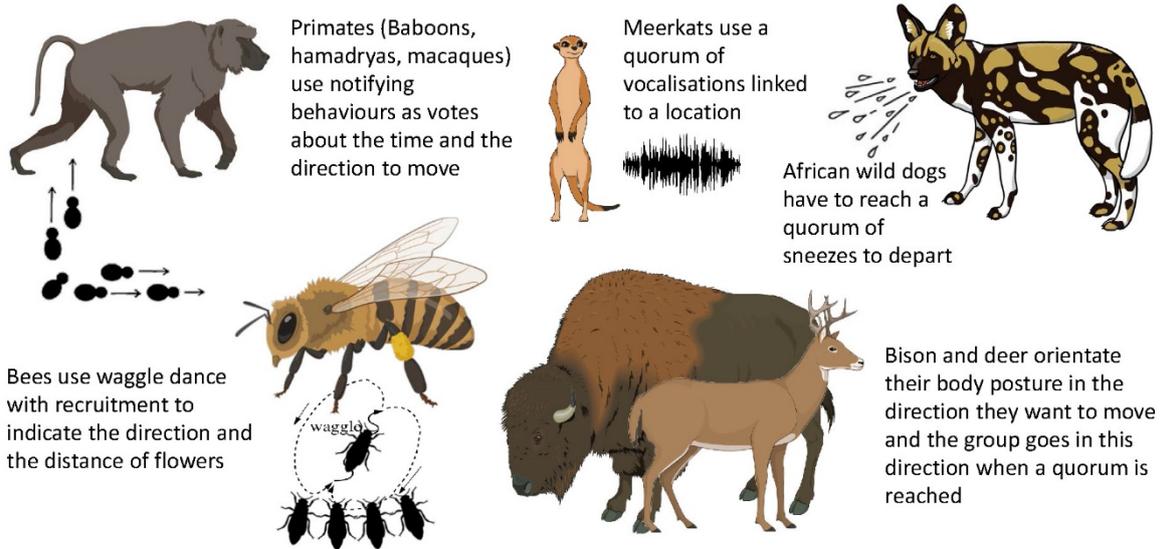
88 between different options. Yet, these different forms of political participation are  
89 increasingly recognised as crucial and, as we will see later, these two forms also exist in  
90 animal groups.

91

## 92 **Taking inspiration from animal collective decisions**

93 Humans are not the only species that use group processes to make important choices. These  
94 concepts also exist in other animal societies, in which voting systems are readily used, for  
95 instance to decide where to go (Figure 1). In ethology, voting means that “an animal  
96 communicates its individual preference with regard to the decision outcome”<sup>23</sup> and the  
97 decision is a sign of an “ecological rationality” and intention, the effectiveness of which is  
98 assessed over long evolutionary periods. These voting processes are mostly used to decide  
99 about where and when to go for foraging or for resting. Of course, this does not mean that  
100 these species have the same mental states as humans but their behaviours suggest certain  
101 cognitive capabilities as degrees of theory of mind<sup>24,25</sup>. Empirical studies supported by  
102 modelling are able to differentiate simple copying process from true voting decisions  
103 involving intentional communication and awareness of mental states of others<sup>26</sup>. Group  
104 decision-making is common in the animal kingdom, and has been documented in social  
105 insects (honeybees<sup>27</sup> or ants<sup>28–30</sup>), fish<sup>31–34</sup> and mammals (e.g., primates<sup>35,36</sup>, meerkats<sup>37</sup>,  
106 African wild dogs<sup>38</sup>, bison<sup>39</sup> and deer<sup>23</sup>). We do not mean here that cognitive processes  
107 involved in animal collective decisions are similar to the ones in humans, they differ in  
108 degrees. However, animal and human processes are comparable and this comparison may  
109 help to provide insight for the stewardship of human collective behaviour<sup>40</sup>. Living in groups  
110 brings many advantages but animals have to resolve conflicts of interest to maintain their  
111 cohesion and these advantages, through collective decisions. Research efforts largely have

112 been directed in relatively stable and cohesive groups. Less well understood is how fission-  
113 fusion dynamics mediate the processes and outcomes of collective decision making.  
114 However, collective decisions also happen in species with fission-fusion dynamics as shown  
115 in bison or hamadryas baboons and are based on similar concepts than the ones applied to  
116 cohesive groups (e.g., needs, information, social networks, see <sup>41</sup> for a review) but only  
117 partial consensus may apply. The difference between stable or cohesive groups and groups  
118 with fission-fusion dynamics also lies in the way individuals evaluate group membership: it is  
119 a common rule in animals that if individuals do not find enough benefits in staying in a  
120 group, they will leave. It is this rule that partly sets an upper limit to the group sizes  
121 observed in animals: even in species living in stable groups, fissions are observed when a  
122 certain group size is reached <sup>42-45</sup>, without necessarily always understanding the underlying  
123 mechanisms. This could shed new light on the low turnout rates observed in elections in  
124 Western societies: the benefits of the electoral process for some citizens are too low, leading  
125 them to desert the ballot box.  
126



127

128 Figure 1: Species showing these different voting behaviours, specifically primates <sup>35,36</sup>,

129 meerkats <sup>37</sup>, African wild dogs <sup>38</sup>, honeybees <sup>27</sup>, bison <sup>39</sup> and deer <sup>23</sup>.

130

131 Animal decisions can be complex since they **may** involve many (up to thousands) individuals

132 having different needs and information about a complex environment with high conflicts

133 needing resolution and wrong decisions potentially leading to death. **These conflicts of**

134 **interests might be due to differential needs of individuals as in primate groups or due to**

135 **information about different sites as in swarming honeybees <sup>46</sup>**. Acquiring information is

136 costly, which is why animals often rely on their groupmates to get informed <sup>47</sup>. By **signalling**

137 information and needs within the group, these social species **engage in a sort of deliberation**

138 **that can take into account the magnitude of each signal as a proxy for individual motivation**

139 **(see the part “the needs of citizens for more details”). Over the course of successive**

140 **collective decisions, the identities of the individuals sending signals of information or need**

141 **vary, thus ensuring a rotation of the group members participating in the agenda-setting and**

142 **in the deliberation. Most likely, due to stochastic phenomena in physiological processes or in**

143 **information acquisition processes, the identities of the participants in each collective**

144 decision vary randomly, thus basing the selection mechanism on sortition rather than on  
145 election. Animal collective decisions are therefore based on mechanisms of sortition,  
146 agenda-setting and deliberation. Furthermore, these mechanisms have been selected over  
147 many generations to optimise the trade-off between speed and accuracy of the collective  
148 decision and to favour the fitness of individuals belonging to these groups. Although less  
149 studied from this perspective, animal groups with fission-fusion dynamics also use the same  
150 collective decision mechanisms as stable groups, with the additional possibility for each  
151 individual to choose the subgroup that best suits them. In some respects, this could be  
152 similar to liquid representation, although more research is needed to confirm this link. To  
153 sum up this part, animal processes and issues such as agenda-setting, deliberation, majority  
154 rules, importance of minorities, uninformed individuals, source of information and  
155 misinformation are very similar to human processes and issues <sup>31,40,48</sup>. Therefore, because of  
156 the strong natural selection increasing the efficiency of animal systems, authors call for  
157 researches on animal systems to improve the decision-making process in human societies,  
158 especially in link with AI <sup>49-53</sup>: bioinspiration for AI may conduct to better understand and  
159 control AI behaviour <sup>54</sup>.

160

### 161 **Taking inspiration from AI**

162 It is important and timely to ask how artificial intelligence and digital technologies can  
163 contribute to strengthening democracy. This link is not self-evident when we see (i) the  
164 development of AI applications in non-democratic countries (China, Russia, among others) <sup>55</sup>  
165 and (ii) the little attention paid to the privacy of their users by the major firms in the sector  
166 <sup>56</sup>. AI can help shaping more democratic human collective-decision systems in several ways,  
167 from the establishment of fair voting conditions to the integration of artificial voting agents.

168 AI can influence decision-making of humans in different contexts (e.g., politics or dating) <sup>57</sup>.  
169 A famous example is an experiment on voting behaviour during the 2010 congressional  
170 election in the U.S., using a sample of 61 million Facebook users <sup>58</sup>. The results showed that  
171 Facebook messages influenced political self-expression and voting behaviour in millions of  
172 people. These results were subsequently replicated during the 2012 U.S. Presidential  
173 election <sup>59</sup>. This example shows at the same time how much AI can be useful and very  
174 dangerous for democracies. Indeed, humans benefit from a number of recent advances in AI  
175 to improve voting systems. The first example is an algorithm developed to counter electoral  
176 gerrymandering by creating electoral districts that are representative of the global  
177 population <sup>60</sup>. By using an algorithm following a divide-and-conquer approach, it is possible  
178 to produce electoral districts' maps that maximise compactness (to ensure geographical  
179 continuity) and minimise population deviation (to ensure representativeness) <sup>60</sup>. By  
180 following these two rules, the algorithm avoids gerrymandering, thus providing fairer voting  
181 conditions, particularly if all stakeholders participated in developing the rules and in  
182 evaluating the resulting maps. Another perspective is the integration of principles derived  
183 from collective animal processes into AI algorithms <sup>61</sup>. By combining human and AI, the  
184 Artificial Swarm Intelligence algorithm <sup>61</sup> offers promising results: it performs better than  
185 humans-only and machine-only setups on a variety of tasks. The resulting increase in  
186 accuracy and acceptance of the collective decision is attributable to the direct involvement  
187 of humans in the decision process. A third approach that requires a democratic debate  
188 makes it technically possible for citizens to be represented by avatars reflecting the  
189 preferences of each voter rather than by politicians <sup>62</sup>. Technically, it will soon be possible to  
190 create intelligent e-democracy bots that can infer the political preferences of their  
191 associated human voter. Such bots could then be allowed to participate in voting processes

192 on the voter's behalf <sup>62</sup>. For example, these bots could use Natural Language Processing  
193 (NLP) to copy the opinion expressed by the politician deemed closest to the voter's position.  
194 This controversial topic could allow citizens to express themselves on a wide range of issues.  
195 Yet this same technique could reinforce vote manipulation or the abandonment of political  
196 life by voters by delegating the expression of their opinions to a bot. When faced with  
197 electoral choices, voters sometimes find it difficult to distinguish or rank the positions of  
198 different political offers on various issues. Analyses by NLP make it easier to compare the  
199 contents of political programmes <sup>63</sup>. This tool provides a more quantitative representation of  
200 political programmes, or an easier means to trace the evolution of a party's positions on a  
201 specific topic over time. This leverage could be used to improve the trade-offs among parties  
202 between rounds or in combination with **evaluative voting** <sup>7</sup>. **In addition, techniques based**  
203 **on distance analyses between the positions of stakeholders in successive rounds of**  
204 **deliberation can identify individuals or clusters that refuse to move towards a consensus** <sup>64</sup>.  
205 **Once these individuals or clusters have been identified, their weight in the next round of**  
206 **deliberation could, for example, be penalised** <sup>64</sup>. Democratically, this could make sense  
207 **because participants in a preference aggregation process who refuse to change their**  
208 **position in response to other stakeholders indicate that they are not prepared to seek**  
209 **consensus among reasonable perspectives** <sup>65</sup>. **Without such a penalisation, small minorities**  
210 **could gain veto power blocking any progress.**  
211 **AI techniques, such as data mining** <sup>66</sup> **and synthetic data generation** <sup>67,68</sup>, **will also be useful in**  
212 **producing** consistent, unbiased and privacy-protecting data <sup>69</sup>. This last point underlines the  
213 importance of the acceptability of AI by the public. While AI is generally viewed positively by  
214 the media <sup>70</sup>, significant concerns about data protection <sup>69</sup> and human employment have  
215 recently emerged. Thus, resistance to AI is stronger among those least inclined to innovation

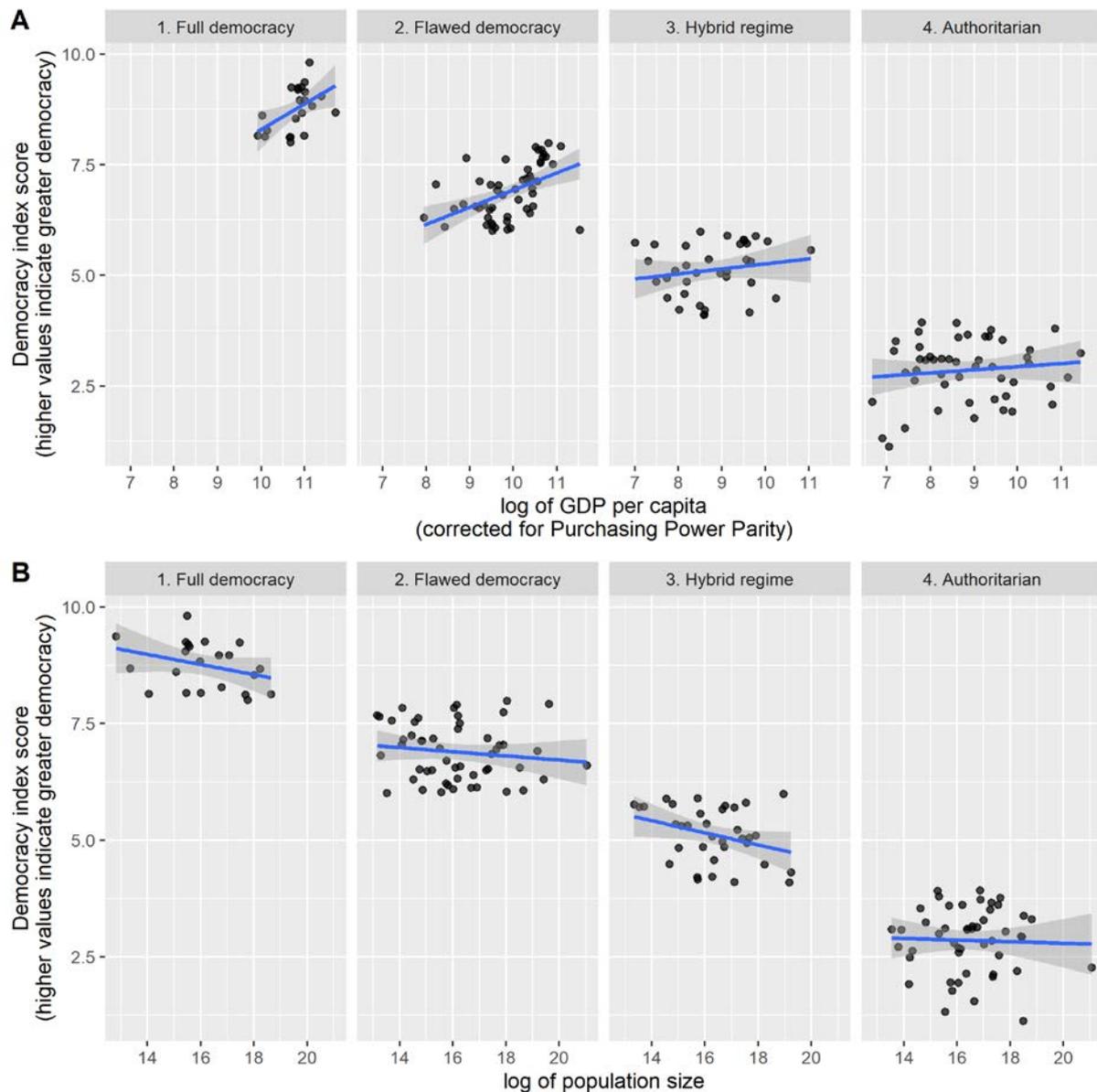
216 and most sensitive to data privacy <sup>71</sup>. Finally, AI is very good at identifying patterns in data,  
217 but far less good at predicting complex social outcomes, perhaps because such outcomes are  
218 inherently unpredictable (due to the inevitable reduction of real complexity in algorithms  
219 and to the ability of living beings to react very differently to subtle changes in their  
220 environment) <sup>72</sup>.

221

## 222 **The different systems used to aggregate individual preferences**

223 Different systems can be used to aggregate individual preferences, ranging from how  
224 proportional they are (i.e., how the final choice represents the votes) and bearing in mind  
225 that heterogeneous preferences and beliefs hinder conflict resolution. A parliament  
226 selecting the proportion of deputies based exactly on the votes for each party is statistically  
227 representative of the political preferences in the population, but one selecting the deputies  
228 based only on the majority is not. Moreover, the voting systems may change the final result  
229 according to how preferences of voters are taken into account (see the section “the needs of  
230 citizens”). Human political systems range from authoritarian regimes to full democracies,  
231 depending on the distribution of weights for each individual in society (Fig. 2). Authoritarian  
232 regimes are more likely to emerge and sustain themselves if the despots manage to secure a  
233 relative advantage in fighting ability both in humans and in animals <sup>73,74</sup>. This fighting  
234 advantage may be due to individual traits (strength, personality) but not only. Securing  
235 alliances is important to keep the power <sup>75,76</sup>, which gives prior access to resources as food  
236 <sup>77</sup>, reproduction <sup>78,79</sup>, safe places <sup>80</sup> but also to leadership <sup>81–83</sup>. In democracies, the most  
237 commonly used representation system is the voting system with **majority voting**, for  
238 instance the first-past-the-post rule. Whilst animals do not elect presidents (but see <sup>76</sup> to  
239 choose the dominant male in an animal society), they use democratic (equally shared

240 consensus) or semi-democratic (partially shared consensus with some individuals having  
241 higher decision weights) systems in their everyday life <sup>23,27,84–86</sup>. Non-human animals do not  
242 have the sophisticated language capacity of humans but this does not mean that they cannot  
243 deliberate and negotiate over different alternatives and vote for them <sup>36,84,85,87</sup>. Recent  
244 empirical studies have shown that the decision-making of social species happens through  
245 the adoption of symbolic systems for consensus construction (vocalisations, movements of  
246 intentions, notifying behaviours and dances) <sup>88</sup>.  
247



248

249 Figure 2. Relationship of the Democracy Index Score (DIS) (each point represents a country)

250 with the logarithm of the country's Growth Domestic Product per capita, corrected for

251 purchasing power parity (A) and the logarithm of the country's population size (B). Within

252 each regime type, a higher democracy index is more likely when GDP per capita is high

253 (LMM:  $0.19 \pm 0.06$ ,  $t = 2.990$ ,  $p < 0.01$ ) (Fig. 1A). There is also a tendency for countries with

254 smaller populations to be more democratic (LMM:  $-0.08 \pm 0.05$ ,  $t = -1.692$ ,  $p = 0.09$ ) (Fig. 1B).

255 The analysis takes into account the overall regime type of the country by adding this variable

256 as a random effect in the model. Data come from the following websites: Democracy Index

257 (<https://www.eiu.com/topic/democracy-index>), GDP  
258 (<https://data.worldbank.org/indicator/NY.GDP.PCAP.PP.CD>) and population size  
259 ([https://en.wikipedia.org/wiki/List\\_of\\_countries\\_and\\_dependencies\\_by\\_population](https://en.wikipedia.org/wiki/List_of_countries_and_dependencies_by_population)).

260 Data and analyses script are available at Zenodo. <http://doi.org/10.5281/zenodo.4703733>  
261

262 Cases (for instance the first-past-the-post rule) where one alternative is chosen until it is  
263 more popular than another, however small the difference of evidence for the two  
264 alternatives may be said to derive from the **Race Model** and were proved to be **non-**  
265 **efficient** compared to the **Drift-Diffusion Model** (DDM), described at the individual (i.e.,  
266 **brain**) level or the collective level both in humans and animals<sup>89–92</sup>. The DDM stipulates that  
267 the differences between two alternatives have to reach a threshold and this model,  
268 operating in brain and collective decision processes, is far more efficient than the race model  
269<sup>93,94</sup>. It is adaptive in urgent situations where decision speed is favoured over accuracy<sup>92,94</sup>.

270 In ants, in emergency situations, individuals decrease their **quorum** threshold and the quality  
271 of a future nest in profit of the decision time, whilst they take time and choose the best nest  
272 in normal conditions by increasing the quorum threshold, which indicates a DDM<sup>30,93</sup>. This  
273 use of different quorums could help to generalise the **Condorcet's jury theorem** to a wider  
274 range of **decision ecologies**<sup>95</sup>. In decision ecology, individuals are prone to two different  
275 types of errors: false positives and false negatives. Yet, in its simplest form, the Condorcet  
276 theorem assumes that both errors are identical. When this assumption is relaxed (when the  
277 probability of a false positive differs from the probability of a false negative), it can be shown  
278 that majority voting becomes **non representative** and should be replaced by sub- or  
279 supermajority quorums depending on the conditions<sup>95</sup>. Sometimes, in humans, instead of  
280 choosing one of the two alternatives with a small majority, a compromise can be found

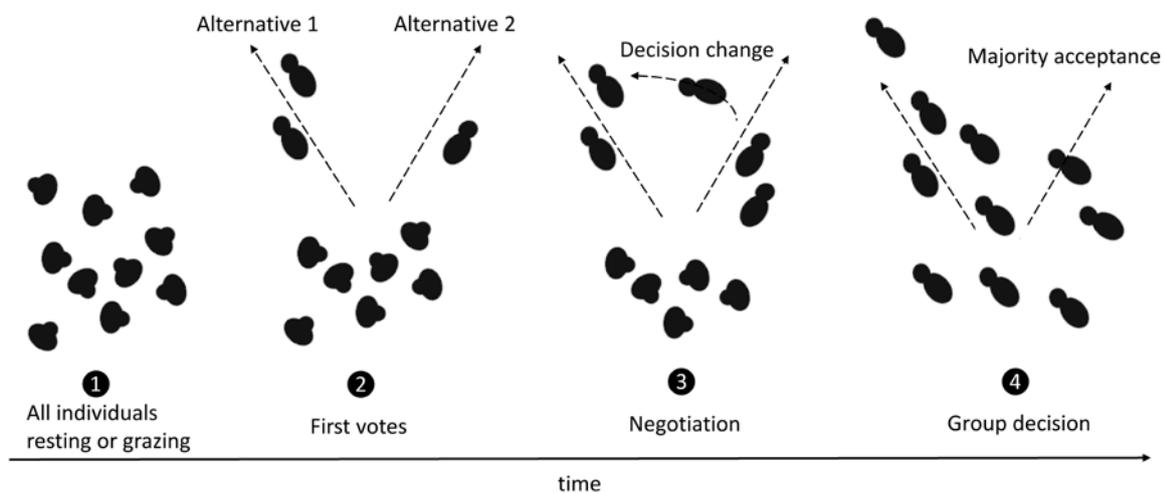
281 thanks to a new alternative satisfying a greater majority. This phenomenon has been coined  
282 the **median voter theorem**.

283 Current voting systems could also be improved by creating small, independent groups of  
284 randomly selected voters before deliberation and voting. In this context (called mini-  
285 publics), the deliberation phase is crucial to reduce the partisanship bias observed in other  
286 voting methods. If a large crowd (in which a meaningful deliberation cannot take place  
287 because of its size <sup>16</sup>) is structured into such mini-publics, deliberation and social influence  
288 within groups improve the crowd's collective accuracy <sup>96</sup>: averaging consensus decisions is  
289 then significantly more accurate than aggregating the initial independent opinions. Such  
290 settings have proved to provide better and more robust collective decisions in a variety of  
291 contexts <sup>96-98</sup>. This may also be where fission-fusion groups could have an evolutionary  
292 advantage over stable groups: for instance, for the same number of individuals over a  
293 territory, fission-fusion subgroups may more effectively collect resources than one stable  
294 group. However, this hypothesis still needs to be tested empirically.

295 In animals, the spectrum of weight distributions for individual preferences is also quite  
296 broad. Animals have different needs according to their physiological status, different  
297 knowledge about their environment and different personality types <sup>99</sup>. These variables may  
298 have synergetic effects to determine which individuals will emerge as a leader <sup>100</sup>. Some  
299 species can be classified as despotic, particularly when there is a large difference in  
300 resource-holding potential within a group <sup>101</sup>. In other species, some group members have a  
301 greater weight in group decisions, especially when these individuals possess a greater  
302 knowledge of the environment that can benefit all group members **as in elephants** <sup>102</sup>,  
303 **bonobos** <sup>103</sup> **or killer whales** <sup>104</sup>. Still, mechanisms are at work to allow most if not all group  
304 members to express their preferences. One such mechanism is to attain a specific number of

305 individuals (a quorum) notifying a preference. For example, African buffalo <sup>105</sup>, wild dogs <sup>38</sup>,  
306 hamadryas baboons <sup>106</sup> or Tonkean macaques <sup>107</sup> are reported to use body orientation to  
307 vote and indicate their preferred direction to achieve a consensus on travel direction, while  
308 golden shiners <sup>108,109</sup> or goats <sup>26</sup> achieve consensus of direction by responding to the  
309 movement cues of their neighbours. In voting processes, long negotiation processes happen  
310 during the collective decision to reach a quorum showing implication of theory of mind,  
311 particularly described in primates <sup>35,36,106</sup>. Generally, a voting process to reach a quorum (i.e.,  
312 a majority) in animals is divided in four steps (Figure 3): initially, all animals are resting or  
313 grazing ①; then, some individuals stand up and indicate with their body posture or  
314 intentional movements their willingness to move in a specific direction ②; then, group  
315 members enter in a negotiation (or deliberation) process where some individuals try to  
316 influence others ③; eventually, all individuals move in the same direction according to the  
317 majority choice ④. Once this quorum is reached, the probability of deciding for the  
318 proposed alternative sharply shifts, leading to a group consensus. However, supermajority  
319 quorums could be used by a minority to maintain the status quo, without aiming at finding a  
320 consensus. As already mentioned, such an attitude could be identified by detection  
321 algorithms of non-cooperative behaviour, which could then reduce the weight of this  
322 uncooperative minority in the calculation of the consensus degree <sup>64</sup>. A functionally similar  
323 mechanism is present in bees searching for new nests: scouts that indicate a potential nest  
324 decrease the intensity of their dance each time they return to the hive, causing scouts that  
325 found a poorer quality nest to stop dancing faster <sup>110</sup>. Quorum decisions are used to manage  
326 competing needs and information in order to decrease decision errors <sup>95,111</sup>. This solution to  
327 a collective problem can work without needing high cognitive capacities: much of these  
328 collective decisions are the result of relatively simple interaction patterns among group

329 members but not only. Sometimes very high cognitive capacities are involved, but this does  
 330 not change the implication of self-organised rules. Self-organisation principles also rule  
 331 collective decisions in species with high cognitive abilities as primates<sup>112</sup>. In this context,  
 332 group size does not influence behavioural or communication processes involved in the  
 333 collective processes, the system just switches from global to local communication, which  
 334 means that group members do not have a full perception of what happens in the group, but  
 335 they do not need it to decide, as local perception is sufficient<sup>41</sup>. Voting systems in bees,  
 336 macaques or bison are not so different even if species differ in social organisation or  
 337 cognitive capacities. In such 'self-organising systems', multiple individuals following simple  
 338 rules can produce complex collective behaviours without requiring high abilities at the  
 339 individual level<sup>93,113</sup>, which is of great relevance for AI systems used in voting systems.



340

341 Figure 3. Steps of a voting process in animals

342

343 Overall, many studies confirmed that the DDM with a quorum threshold seems to be more  
 344 efficient than simple majority voting. Another difference between collective decisions in  
 345 humans and non-human animals is that the latter do not elect representatives like humans  
 346 do, but decide together throughout the day, as a **participatory democracy**. Besides, non-

347 human animals typically take decisions for short-term aims (those that will occur within  
348 minutes or hours after the decision). There are many multilevel animal societies in which  
349 some individuals have more influence than others at different organisation levels.  
350 Importantly, having a greater weight in the decision does not mean that they are the sole  
351 decision makers. This looks like the participatory democracy (or shared consensus) that  
352 many human citizens request today and seems to be more efficient than a monopolised  
353 leadership (unshared consensus). **For instance, in Switzerland, there are seven Councillors**  
354 **who are indirectly representative of the population but the citizens are invited to vote on**  
355 **various issues several times a year, which can be done by mail. So, this system can also work**  
356 **for large sample size and AI can help to pool these votes and avoid errors. However, the**  
357 consensus type also depends on the population homogeneity in terms of needs and  
358 knowledge<sup>85,114</sup>. **How to take into account different needs and different knowledge of**  
359 **citizens is of matter and will be developed in the next sections.**

360

### 361 **The needs of citizens**

362 Decision makers within a group vary in terms of needs, goals and preferences. Therefore,  
363 choosing an alternative generally only satisfies individuals who vote for this alternative.  
364 Arrow's impossibility theorem stipulates that there is no way to always aggregate all  
365 individual choices within one voting system. However, when within-group choices become  
366 more aligned, as in emergency or wars, more cohesive or coercive systems may become  
367 more acceptable. **The current COVID-19 sanitary situation leads us, for example, to accept**  
368 **coercive decisions such as lockdowns and closures of establishments that are not accepted**  
369 **in other situations.** Previous theoretical studies worked on this homogeneity concept<sup>85,114</sup>:  
370 when animals or humans all have the same needs, a single leader system is more viable as all

371 individuals are satisfied and the decisions are taken more rapidly than those made using  
372 democratic systems, which require participants to vote. This is an auto-emergent  
373 dictatorship<sup>114</sup>. Collective decision-making in the non-human animal world cannot escape  
374 the notion of dominance. However, true despotic societies are rare in animal societies, as  
375 they are typically not evolutionarily stable due to the diversity of group members<sup>114</sup>.  
376 Aggressive and coercive leaders are strongly disfavoured<sup>115</sup>. It is clear that this system is not  
377 viable when group members differ in their physiological and social needs and preferences.  
378 Moreover, models, confirmed by empirical data<sup>101</sup>, show that the system collapses if the  
379 despot disappears, and a wrong decision taken by the despot may have strong negative  
380 consequences for all individuals<sup>85,114,116</sup>. Conradt and Roper's model<sup>85</sup> indicates that  
381 democratic decisions can evolve when groups have a heterogeneous composition, but the  
382 higher the heterogeneity, the harsher the conflicts and the more unlikely the conflict  
383 resolution. From an evolutionary perspective, animal societies have managed to resolve  
384 these conflicts of interest by giving all members the opportunity to participate in daily  
385 decisions (i.e., to have a say in agenda-setting) but to different extents. Although dominant  
386 individuals can take the role of leader in African wild dogs<sup>38</sup>, meerkats<sup>37</sup> and baboons<sup>101</sup>,  
387 they do not have the exclusive right to decide, but simply a greater weight in the decision<sup>88</sup>.  
388 The alternating of leadership roles among animals can ensure the expression of individual  
389 needs<sup>117</sup>. In this way, voters maintain the leadership purposefully, which implicitly  
390 downplays the social and environmental conditions underlying egalitarianism<sup>118,119</sup>. Indeed,  
391 true egalitarianism may lead to a very long decision time or even to an absence of  
392 consensus. Even if the needs of group members are different, leadership allows a better  
393 group coordination but does not permit other members to express their intentions. Indeed,  
394 in larger human and non-human groups, group members may willingly give leaders greater

395 leeway to make decisions, in view of the functional benefits of leader-follower relationships  
396 in such contexts<sup>115</sup>. For a fully functioning democracy, some researchers in political science  
397 favour a switch from participatory democracy to deliberative democracy<sup>16,120</sup>. In  
398 deliberative human democracies, it is crucial to allow every citizen to express themselves  
399 freely, with a seamless interface between this public space and the empowered space<sup>121</sup>  
400 and to have an equal right to participate in the public debate, even outside of the electoral  
401 process<sup>16</sup>. For instance, the European Commission regularly launches public consultations to  
402 which all stakeholders, including unions or NGOs, can contribute.

403 In animal groups, leadership can respect the needs of different individuals in a number of  
404 ways. First, the generality dimension of leadership allows it to be split into various sub-  
405 domains<sup>115</sup>. For example, dominant meerkat individuals fight fiercely to secure a  
406 disproportionate share of the reproductive output<sup>122</sup>, but are much less assertive when the  
407 group has to take decisions about changes in daily activities<sup>37,117,123</sup>. Second, the alternating  
408 of leadership roles amongst group members can ensure the expression of individual needs  
409 and leadership. Indeed, studies in sticklebacks<sup>124</sup> and meerkats<sup>117</sup> show that individuals  
410 with conflicting information take turns in leading the group to their respective favourite  
411 location. Another issue with leadership is that it does not safeguard against profiteers  
412 becoming leaders. Humans elect people who propose an electoral platform but who may  
413 want to be leaders for their personal gain and not for the public good. Leaders can be  
414 described as individuals who have a disproportionate level of influence and decision-making  
415 power within their communities, and can distort social relationships to their advantage  
416<sup>23,119,125</sup>. Even in non-human animals, leaders shape social dynamics through policing<sup>126</sup> or  
417 by embodying culturally appropriate behaviour<sup>127</sup>. In return, leaders are often rewarded  
418 with privileges<sup>101,126</sup>. Hence, leadership itself is a frequently contested resource that

419 individuals compete to obtain and/or maintain. This issue may concern humans<sup>128</sup> and some  
420 vertebrates with theory of mind (see a discussion about Machiavellian intelligence in  
421 primates <sup>129</sup>), but is absent in species such as ants. Machiavellian Intelligence also applies in  
422 the context of strategic votes, which is quite difficult to measure in animals even if studies  
423 on private versus social information may give some cues about decision-making processes <sup>28</sup>.  
424 Are human leaders alpha individuals in a dominance hierarchy <sup>115</sup>? When we look at our  
425 presidents or monarchs, this appears to be quite plausible. Work in psychology showed that  
426 dominant appearance traits are chosen by voters in absence of more political information  
427 <sup>130,131</sup>. Current knowledge in animal and artificial decision-making can help our societies  
428 improve their public decision-making systems and can provide insight about institutional and  
429 electoral design to select the most appropriate candidates for the offices.

430

### 431 **The knowledge on which citizens base their decisions**

432 Knowledge is important to decide which alternative to vote for. Whilst there is a huge work  
433 on this domain in political science <sup>132,133</sup>, only a few scholars grasped the potential  
434 contribution of animal studies to this field <sup>48,134</sup>. Humans and non-human animals have two  
435 ways to access information: learning by themselves and/or learning from others <sup>28,47</sup>. The  
436 most obvious constraint on majority rules for questions having a correct answer is that the  
437 majority of informants the group relies on need to be right <sup>95,135</sup>. In eusocial insects, groups  
438 seem to identify the best information: even though very few individuals actually possess  
439 relevant information regarding the decision at hand, decisions are still efficient with a mix  
440 between private and social information <sup>28</sup>. In many cases, individuals check and compare  
441 their private and social information before making a decision. Yet we currently observe in  
442 human societies many fake news or misinformation voluntarily spread to influence votes for

443 representatives <sup>12,13</sup>. **Misinformation** is a clear threat to private and social learning as they  
444 drive the majority towards a non-efficient decision that is beneficial to the group of  
445 manipulators. Many AI algorithms try to identify fake news, particularly during election  
446 periods <sup>8,136,137</sup>.

447 To comply with the Condorcet theorem, votes should be independent from each other.  
448 However, the heavy reliance on social information in humans is at odds with this  
449 assumption. Therefore, trusting others may have consequences at the individual level <sup>138</sup>,  
450 but also at the group level. At the individual level, this is what Amartya Sen called the  
451 ‘capability to vote’: **although it is good to vote, it is better when one has the knowledge to**  
452 **vote well** <sup>139</sup>, **meaning to be sure to have all the information for each alternative in order to**  
453 **make a choice representative of one’s needs**. At the group level, the sum of knowledge leads  
454 to the emergence of the ‘wisdom of crowds’ for humans and ‘swarm intelligence’ for non-  
455 human animals <sup>48,140</sup>, both of which sometimes fail <sup>31,138,141</sup>. As already mentioned, several  
456 vote-pooling mechanisms can efficiently improve outcome accuracy, both when voters  
457 cannot communicate <sup>97,98</sup> and when communication is allowed <sup>96</sup>. Theoretical and empirical  
458 works suggest that collective decisions can be more accurate than individual decisions.  
459 **However, homogeneity of individual traits may lead to non-efficient collective decisions** <sup>142</sup>  
460 **as group members all search for or have the same information and needs reinforcing the**  
461 **probability to take wrong decisions, whilst diversity of individual traits conducts to diversity**  
462 **of information and diversity of alternatives**. In fish, social insects, birds and humans, two or  
463 more individuals independently collect information that is processed through social  
464 interactions, providing a solution to a cognitive problem that is not available to single  
465 individuals <sup>48</sup>. Different studies have attempted to identify who should be trusted and which  
466 decision is the best when faced with the choice between one expert and ten non-experts.

467 Collective decisions are almost always preferred to individual ones <sup>143,144</sup>. However, it is not  
468 necessary to know who has the best information as the combination of individual behaviours  
469 and social interactions lead to the emergence of effective systems <sup>113</sup>.

470 Importantly, two phenomena may prevent individuals or algorithms from correctly assessing  
471 a situation: misinformation (or lack of information) and biases. Currently, fake news and  
472 misinformation appears to be on the rise and poses a threat to democracy, particularly when  
473 elected politicians and activist groups interact to relay such news <sup>145</sup>. This type of  
474 misinformation could be mitigated by providing citizens with a better understanding of how  
475 to differentiate between fake and real news. However, sometimes, fake news can also  
476 convince well-informed people through other cognitive mechanisms (confirmation bias,  
477 desirability bias <sup>146</sup>). In such cases, algorithms relying on advanced AI can detect fake news  
478 from real information in social media posts <sup>147</sup> or in video speeches <sup>148</sup> and can propose, as  
479 Twitter, to consider reading a link before sharing it or warn about specific content (violent,  
480 unsure). This better identification also comes from research on animal and human  
481 communication, particularly facial expressions <sup>149,150</sup>.

482 Nowadays, humans are connected to many other people directly or indirectly through  
483 Facebook and other social media, people who they know as friends or family members or  
484 who they do not know but with whom they share similar interests. These connections form a  
485 social network which can be embedded into the real and the virtual world. Since the  
486 development of these social media, the number of relationships a human has increased,  
487 thus reducing the six degrees of separation <sup>151</sup> to three and half <sup>152</sup>. However, this booming  
488 of relationships may lead to different decision biases. Specific connections in social networks  
489 may lead information that is considered untrue by the majority to be excessively over-  
490 trusted by voters who only have access to these connections. This social effect, called the

491 'majority illusion', is derived from the 'friendship paradox'. It leads individuals to  
492 systematically overestimate the prevalence of a piece of information, manipulating  
493 evidences in the DDM, which may accelerate the spread of fake news and the ultimate  
494 choice of an unsuitable alternative<sup>153,154</sup>. Such so-called 'small world' networks<sup>151</sup> lead to  
495 partial views of the world. To our knowledge, only one study has shown this effect in non-  
496 human animals<sup>155</sup>. This is maybe the most difficult issue to control when trying to take  
497 individual and collective decisions.

498

### 499 **Future perspectives about using animal and artificial intelligence**

500 Human social adaptations evolved in the context of small hunter-gatherer groups solving  
501 local problems through vocalizations and gestures. Now humans face complex challenges  
502 from pandemics to climate change and communicate on dispersed networks connected by  
503 digital technologies and social media<sup>40</sup>. We are not ready for this, cognitively speaking,  
504 facing numerous biases, but decentralised systems exist in animal societies and we can use  
505 their decision-making processes via AI to increase the efficiency of our collective decisions<sup>40</sup>.  
506 Moreover, AI can also help to predict and understand how people make decisions even at  
507 large scale<sup>156</sup>. Then a strong link in the future research, between human collective decisions,  
508 AI and animal behaviour has to be made.

509 Numerous instances, such as policies on climate change, show that majority voting **may lead**  
510 to non-efficient collective decisions. We identified several research frameworks that **could**  
511 **enhance the effectiveness of human collective-decision system:**

512 1. Animal studies have shown that collective rules evolve to achieve efficient  
513 decisions. Many of these results inspired AI to help reach better democratic decisions.

514 Continuing to think about a diffusion model with an appropriate difference threshold

515 between alternatives and with an appropriate quorum <sup>89,93</sup> would increase effectiveness of  
516 human systems. We have to create systems in which minorities can attempt different  
517 strategies that search through the solution space. We need to “rethink democracy” not as an  
518 all-or-nothing system <sup>157</sup>, with always opposite alternatives where one wins and one loses  
519 but to build integrative solutions leading to unified societies as defined in deliberative **or**  
520 **open** democracies <sup>16</sup>. As Seeley says in Honeybee Democracy <sup>27</sup>, “It often pays a group to  
521 *argue* things carefully through to find the best solution to a tough problem” (p. 2). This is  
522 where applying the DDM might be useful to balance between accuracy and speed of the  
523 collective decision.

524         2. A second aim would be to increase participatory and deliberative democracy and  
525 AI helping it. The frequencies and the weights of decisions of each member in non-human  
526 animal groups **or in small human groups** are much higher than those observed in **large**  
527 human societies, as **these groups** decide on a daily basis: non-human animals **or hunter-**  
528 **gatherers** appear to hold referendums every day. A more participatory democracy **in large**  
529 **human societies** resembling those we observe in animal societies could result in greater  
530 satisfaction of citizens but also more efficient decisions due to a greater accumulation of  
531 knowledge <sup>28,143</sup>. **Indeed, from our animal roots, the current decrease in voter turnout is not**  
532 **surprising, because current voting systems prevent ordinary citizens from participating in**  
533 **agenda-setting and deliberation phases, two important facets of animal collective decision**  
534 **making. Agenda-setting should therefore be given back to citizens, for instance via sortition-**  
535 **based assemblies or mini-publics <sup>16</sup>.**

536         3. Third, we need to better understand how our connections affect the quality of  
537 information we get and as a consequence the efficiency of our decisions. **The digital age and**  
538 **the rise of social media have accelerated changes to our social systems, with poorly**

539 **understood functional consequences.** We can gain a better picture of how our individual or  
540 collective decisions are constructed through the study of the real or imaginative links we  
541 make between the information provided by TV, social networks, social media and influential  
542 people <sup>31</sup>. As humans we tend to think that we have control over our decisions and  
543 knowledge, but recent events in elections have shown this to be untrue. **Collective**  
544 **behaviour reveals how large-scale higher-order properties of the group feedback to**  
545 **influence individual behaviour, which in turn can influence the behaviour of the group, and**  
546 **so on.** Many voting processes are self-organized in the animal kingdom and we should admit  
547 that this is also the case in humans <sup>31,111</sup>.

548

#### 549 **Concluding remarks**

550 Identifying these animal collective solutions shaped by selection over millions of years and  
551 implementing them into AI algorithms devoted to democracy is likely to increase the stability  
552 of our political systems in achieving larger consensus and reducing polarization. **However, AI**  
553 **can also be dangerous** <sup>146,148</sup> **and several scientists appeal to more and more develop the**  
554 **research field in AI ethics** <sup>158-160</sup>. More research on efficient collective decisions in algorithms  
555 and animals has to be done focusing on the outcomes and their effectiveness. Indeed,  
556 humans are limited by their cognitive capacities, some biases and their mental dimensions,  
557 leading to higher polarization of societies and mental block to think about new voting  
558 systems. As animals do not think as we do, behavioural experiments on multiple species and  
559 modelling can help to get out of these human dimensions, and to find new ones <sup>161,162</sup>. This  
560 could improve humanity and yield novel bioinspired technologies.

561

562 **Glossary**

563 **Agenda-setting:** Ability to participate in the definition of the issues and/or options open to a  
564 vote.

565 **Artificial intelligence:** Set of algorithms and processes enabling artificial agents to perceive  
566 their environment or to process data in order to respond in an optimised way to a given  
567 problem.

568 **Condorcet's jury theorem:** The Condorcet's jury Theorem implies that the choice made by a  
569 group using the majority voting rule will be better than the individual choices of the  
570 members of that group, provided that the members of the group have more than a 1 in 2  
571 chance of being correct. One of its postulates is that individuals can only make one type of  
572 mistake, which is not always true.

573 **Condorcet winner criterion:** The Condorcet criterion for a voting system is that it chooses  
574 the beats-all winner when one exists.

575 **Decision ecology:** Concept encompassing all dimensions influencing decision making. It takes  
576 the types of error individuals do as the starting point for understanding decision-making and  
577 suggests that decisions need to be understood within their context.

578 **Deliberative democracy:** Form of democracy in which deliberation and negotiation are  
579 central to decision-making. It adopts elements of both consensus decision-making and  
580 majority rule.

581 **Drift-Diffusion Model:** The DDM stipulates that a choice should be made as soon as the  
582 difference between the evidence (information) supporting the winning alternative (drift 1)  
583 and the evidence supporting the losing alternative (drift 2) exceeds a threshold. The DDM  
584 implements a test called the sequential probability ratio test which optimizes the speed of  
585 decision-making for a required accuracy.

586 **Efficiency:** In the context of voting, **efficiency** relies on a decision that maximizes the  
587 difference between the benefits and the costs. **These benefits and costs can be measured in**  
588 **two ways: first, the time to take a decision, which can increase costs if it is too long; second**  
589 **the representativeness of the decision. Usually, there is a trade-off between the decision**  
590 **time and the representativeness. This trade-off reflects the decision efficiency**<sup>94,163</sup>. **Time to**  
591 **take a decision often reflects the quantity of information or evidence one can get to take a**  
592 **decision. A short decision time indicates low quantity and quality of information conducting**  
593 **to high probability to take the wrong decision. With efficient decisions, the divide between**  
594 **competing participants is decreased and such decisions are therefore more likely to be**  
595 **implemented for longer periods of time**<sup>22,120</sup>. **As a corollary, efficient decisions are generally**  
596 **more representative of the diversity of the group**<sup>120</sup>.

597 **Evaluative voting:** Each alternative open to voting can be evaluated independently by each  
598 voter. The scale for evaluating alternatives may vary.

599 **Majority voting:** A decision is taken as soon as a number of votes equals to  $(N/2) + 1$  of the  $N$   
600 votes cast.

601 **Median voter theorem:** Proposition relating to direct ranked preference voting put forward  
602 by Duncan Black<sup>164</sup>. It states that if opinions are distributed along a one-dimensional  
603 spectrum, then any voting method which satisfies the **Condorcet winner criterion** will  
604 produce a winner close to the median voter.

605 **Participatory democracy:** Participatory democracy tends to advocate more involved forms  
606 of citizen participation and greater political representation than representative democracy.

607 **Quorum:** Minimum number of group members necessary to observe a drastic change in  
608 group behaviour or to validate a group decision. Majority voting is a special case of quorum.  
609 50% for a quorum makes sense when only two alternatives are proposed, which is rare in

610 animal societies as researchers count all animals even those which do not have opinions.  
611 50% majority is present in humans but removing individuals with no opinion. If we consider  
612 individuals who do not vote or do white vote, the majority does not reach 50%. For instance,  
613 if only 60% of the population vote, then the real quorum is 30% (60%\*50%). **Sub-majority**  
614 **quorums refer to cases where the collective decision is taken as soon as a threshold of less**  
615 **than 50% is reached. Symmetrically, super-majority quorums refer to cases where the**  
616 **collective decision is taken as soon as a threshold of more than 50% is reached.**

617 **Race Model:** The Race Model stipulates that a choice should be made as soon as the  
618 evidence supporting the winning alternative exceeds a threshold.

619 **Representativeness:** State or quality of a decision to be representative of the group or  
620 individual needs according to the level we consider (group or individual).

621 **Self-selection:** Selection mechanism relying on individuals selecting themselves to influence  
622 collective decisions; in humans, self-selection is present in all candidates for elections or  
623 participants in a demonstration; in non-human animals, self-selection is present when  
624 individuals produce signals that are evaluated during the voting process.

625 **Sortition:** Selection mechanism relying on a (stratified) random sampling of participants; in  
626 humans, sortition is a recognised method for producing interpretable opinion polls.

627 **Voting system:** Mechanism by which individual preferences are pooled together in order to  
628 reach a group decision.

629

630 **Twitter accounts:** @cedrisueur, @EspinosaRomain, @KrisAnathema

631

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635

636 **Conflicts of interest**

637 The authors declare no competing interests

638

639 **Authors contribution**

640 CS and CB wrote a first version of the paper. RE and JLD reviewed, commented and  
641 enhanced the different versions. All authors read and agreed with the final version.

642

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